



**AUSTRALIAN
CUSTOMS SERVICE**

APPLICATION FOR DUMPING AND/OR COUNTERVAILING DUTIES

**TRADE MEASURES BRANCH
AUSTRALIAN CUSTOMS SERVICE
AUGUST 2001**

Assistance with the Application

The Customs Dumping Liaison Unit (DLU) can provide information about dumping and countervailing procedures and the information required by the application form. Contact the DLU on **Phone (02) 6275-6066** or **fax (02) 6275-6990**.

Other information is available from the Customs website at www.customs.gov.au.

The application should be sent to the

National Manager

OR

Director Dumping Liaison Unit

**Trade Measures Branch
Australian Customs Service
Customs House
5 Constitution Avenue
CANBERRA ACT 2601**

OR

By facsimile to (02) 6275 6888

Applicants must provide:

- Two copies of the application plus two non-confidential versions. (Refer to the section on 'Important Information' about preparing a non-confidential application); and
- Where possible, an electronic version of both the confidential and non-confidential application.

AUSTRALIAN CUSTOMS SERVICE

Application for Dumping and Countervailing Duties

DECLARATION

I request in accordance with Section 269TB of the Customs Act 1901 that the Minister publish in respect of goods the subject of this application:

- a dumping duty notice, or
- a countervailing duty notice, or
- a dumping and a countervailing duty notice

This application is made on behalf of the Australian industry producing like goods to the imported goods the subject of this application. The application is supported by Australian producers whose collective output comprises:

- 25% or more of the total Australian production of the like goods; and
- more than 50% of the total production of like goods by those Australian producers that have expressed either support for, or opposition to, this application.

I believe that the information contained in this application:

- provides reasonable grounds for the publication of the notice(s) requested; and
- is complete and correct.

Signature	
Name	
Position	
Company	
ABN	Date / /

Important information

To initiate an investigation into dumping and/or subsidisation, Customs must comply with Australia's international obligations and statutory standards. This form provides an applicant industry with a framework to present its case and will be used by Customs to establish whether there are reasonable grounds to initiate an investigation. To assist consideration of the application it is therefore important that:

- all relevant questions (particularly in Parts A and B) are answered; and
- information that is reasonably available be supplied.

Customs does not require conclusive evidence to initiate an investigation, but any claims made should be reasonably based. An application will be improved by including supporting evidence and where the sources of evidence are identified. Simple assertion is inadequate to substantiate an application.

To facilitate compilation and analysis, the application form is structured in 3 parts:

1. **Part A** seeks information about the Australian industry. This data is used to assess claims of material injury due to dumping/subsidisation. Where an Australian industry comprises more than one company, each should separately prepare a response to Part A to protect commercial confidentiality.
2. **Part B** relates to evidence of dumping.
3. **Part C** is for supplementary information that may not be appropriate to all applications. However some questions in Part C may be essential for an application, for example, if action is sought against subsidisation.

All questions in Parts A and B must be answered, even if the answer is 'Not applicable' or 'None'. Where appropriate, applicants should provide a short explanation about why the requested data is not applicable. This will avoid the need for follow up questions by Customs.

Some questions require attachments to be provided. The attachment numbering sequence should refer to the question answered. For example, question A2.2 requests a copy of an organisation chart. To facilitate reference, the chart should be labelled *Attachment A2.2*. If a second organisation chart is provided in response to the same question, it should be labelled *Attachment A2.2.2* (the first would be labelled *Attachment A2.2.1*)

During an investigation all interested parties are given the opportunity to defend their interests. Customs maintains a public record of the submissions made during an investigation. A non-confidential version of the application and any subsequent submissions must be provided for inclusion on the public record. A non-confidential submission should enable a reasonable understanding of the substance of the information submitted in confidence. If you cannot provide a non-confidential version, contact the Customs Dumping Liaison Unit **Phone (02) 6275-6066** for advice.

Industry financial data must, wherever possible, be submitted in an electronic format.

- Electronic data facilitates more efficient analysis by Customs (for example analysis can commence sooner and transcription errors are avoided).
- The data should be submitted on a standard double sided, high density 3.5 inch floppy disk in IBM/MS-DOS format, or another format compatible with MS-DOS.
- Microsoft Excel, or an Excel compatible format, is preferred.
- If the data cannot be presented electronically please contact the Customs Dumping Liaison Unit **Phone (02) 6275-6066** for advice.

The application form requests data over several periods (P^1, P^2, \dots, P^n) to evaluate industry trends and to correlate injury with dumped imports. The labels $P^1 \dots P^n$ are used for convenience in this application form. Lodged applications should identify the period relevant to the data. This form does

not specify a minimum period for data provision. However, sufficient data must be provided to substantiate the claims made. If yearly data is provided, this would typically comprise a period of at least four years (for example the current financial year in addition to three prior years). Where information is supplied for a shorter period, applicants may consider the use of quarterly data. Data must also be sufficiently recent to demonstrate that the claims made are current.

When an investigation is initiated, Customs will verify the claims made in the application. A verification visit to the Australian industry usually takes several days.

- Applicant companies should be prepared to substantiate all Australian industry financial and commercial information submitted in the application. Any worksheets used in preparing the application should therefore be retained to facilitate verification.
- During the visit Customs will examine company records and obtain copies of documents relating to the manufacture and sale of the goods.

PART A

INJURY TO AN AUSTRALIAN INDUSTRY

IMPORTANT

All questions in Part A should be answered even if the answer is 'Not applicable' or 'None'. If an Australian industry comprises more than one company/entity, each should separately complete Part A.

For advice about completing this part please contact the Customs Dumping Liaison Unit on:
Phone (02) 6275 6066 Fax (02) 6275 6990

A-1 Identity and communication.

Please nominate a person in your company for contact about the application:

Contact Name:

Company and position:

Address:

Telephone:

Facsimile:

E-mail address:

ABN:

Alternative contact

Name:

Position in the company:

Address:

Telephone:

Facsimile:

E-mail address:

If you have appointed a representative to assist with your application, provide the following details and complete *Appendix A8* (Representation).

Name:

Representative's business name:

Address:

Telephone:

Facsimile:

E-mail address:

ABN:

A-2 Company information.

1. State the legal name of your business and its type (eg. company, partnership, sole trader, joint venture). Please provide details of any other business names you use to manufacture/produce/sell the goods that are the subject of your application.
2. Provide your company's internal organisation chart. Describe the functions performed by each group within the organisation.

3. List the major shareholders of your company. Provide the shareholding percentages for joint owners and/or major shareholders.
4. If your company is a subsidiary of another company list the major shareholders of that company.
5. If your parent company is a subsidiary of another company, list the major shareholders of that company.
6. Provide an outline diagram showing major associated or affiliated companies and your company's place within that structure (include the ABNs of each company).
7. Are any management fees/corporate allocations charged to your company by your parent or related company?
8. Identify and provide details of any relationship you have with an exporter to Australia or Australian importer of the goods.
9. Provide a copy of all annual reports applicable to the data supplied in *appendix A3* (Sales Turnover). Any relevant brochures or pamphlets on your business activities should also be supplied.
10. Provide details of any relevant industry association.

A-3 The imported and locally produced goods.

1. Fully describe the imported product(s) the subject of your application:
 - Include physical, technical or other properties.
 - Where the application covers a range of products, list this information for each make and model in the range.
 - Supply technical documentation where appropriate.
2. What is the tariff classification and statistical code of the imported goods.
3. Fully describe your product(s) that are 'like' to the imported product:
 - Include physical, technical or other properties.
 - Where the application covers a range of products, list this information for each make and model in the range.
 - Supply technical documentation where appropriate.
 - Indicate which of your product types or models are comparable to each of the imported product types or models. If appropriate, the comparison can be done in a table.
4. Describe the ways in which the essential characteristics of the imported goods are alike to the goods produced by the Australian industry.
5. What is the Australian and New Zealand Standard Industrial Classification Code (ANZSIC) applicable to your product.
6. Provide a summary and a diagram of your production process.
7. If your product is manufactured from both Australian and imported inputs:
 - describe the use of the imported inputs; and
 - identify that at least one substantial process of manufacture occurs in Australia (for example by reference to the value added, complexity of process, or investment in capital).
8. If your product is a processed agricultural good, you may need to complete Part C-3 (close processed agricultural goods).
9. Supply a list of the names and contact details of all other Australian producers of the product.

A-4 The Australian market.

1. Describe the end uses of both your product and the imported goods.
2. Generally describe the Australian market for the Australian and imported product and the conditions of competition within the overall market. Your description could include information about:
 - sources of product demand;
 - marketing and distribution arrangements;
 - typical customers/users/consumers of the product;
 - the presence of market segmentation, such as geographic or product segmentation;
 - causes of demand variability, such as seasonal fluctuations, factors contributing to overall market growth or decline, government regulation, and developments in technology affecting either demand or production;
 - the way in which the imported and Australian product compete; and
 - any other factors influencing the market.
3. Identify if there are any commercially significant market substitutes for the Australian and imported product.
4. Complete *appendix A1* (Australian production). This data is used to support your declaration at the beginning of this application.
5. Complete *appendix A2* (Australian market).
6. Use the data from *appendix A2* (Australian market) to complete this table:

*Indexed table of sales quantities**

Period	(a) Your Sales	(b) Other Aust ⁿ Sales	(c) Total Aust ⁿ Sales (a+b)	(d) Dumped Imports	(e) Other Imports	(f) Total Imports (d+e)	Total Market (c+f)
P1*	100	100	100	100	100	100	100
P2							
P3							
P4							
Pⁿ							

* Data index for first period commences with 100. Provide sufficient periods to substantiate your application. P1 - Pn are used as labels for a generic application form. Please specify the period relevant to your application (such as 200X or Q1/0X).

A-5 Applicant's Sales.

1. Complete *appendix A3* (sales turnover).
2. Use the data from *appendix A3* (sales turnover) to complete these tables.

*Indexed table of Applicant's sales quantities**

Quantity	P1*	P2	P3	P4	P ⁿ
All products					
Australian market	100				
Export market	100				
Total	100				
Like goods					
Australian market	100				
Export market	100				
Total	100				

* Index of data. Pⁿ should be the most recently completed period. P1 - Pⁿ are used as labels for a generic application form. Please specify the period relevant to your application (such as 200X or Q1/0X).

*Indexed table of Applicant's sales values**

Value	P1*	P2	P3	P4	P ⁿ
All products					
Australian market	100				
Export market	100				
Total	100				
Like goods					
Australian market	100				
Export market	100				
Total	100				

* Index of data. Pⁿ should be the most recently completed period. P1 - Pⁿ are used as labels for a generic application form. Please specify the period relevant to your application (such as 200X or Q1/0X).

3. Complete *appendix A5* (sales of other production) if you have made any:
 - internal transfers; or
 - domestic sales of like goods that you have not produced, for example if you have imported the product or on-sold purchases from another Australian manufacturer.
4. Complete *appendix A4* (domestic sales).
5. If any of the customers listed at *appendix A4* (domestic sales) are associated with your business, provide details of the association. Describe the price effect of the association.
6. Attach a copy of distributor or agency agreements/contracts.
7. Provide copies of any price lists.
8. If any price reductions (for example commissions, discounts, rebates, allowances and credit notes) have been made on your Australian sales of like goods provide a description and explain the terms and conditions that must be met by the customer to qualify.

- Where the reduction is not identified on the sales invoice, explain how you calculated the amounts shown in *appendix A4* (domestic sales).
 - If you have issued credit notes (directly or indirectly) provide details if the credited amount has **not** been reported in *appendix A4* (domestic sales) as a discount or rebate.
9. Select two domestic sales in each quarter of the data supplied in *appendix A4* (domestic sales). Provide a complete set of commercial documentation for these sales. Include, for example, purchase order, order acceptance, commercial invoice, discounts or rebates applicable, credit/debit notes, long or short term contract of sale, inland freight contract, and bank documentation showing proof of payment.

A-6 General accounting/administration information.

1. Specify your accounting period.
2. Provide details of the address(es) where your financial records are held.
3. To the extent relevant to the application, please provide the following financial documents for the two most recently completed financial years plus any subsequent statements:
 - chart of accounts;
 - audited consolidated and unconsolidated financial statements (including all footnotes and the auditor's opinion);
 - internal financial statements, income statements (profit and loss reports), or management accounts, that are prepared and maintained in the normal course of business for the goods.

These documents should relate to:

 1. the division or section/s of your business responsible for the production and sale of the goods covered by the application, and
 2. the company overall.
4. If your accounts are **not** audited, provide the unaudited financial statements for the two most recently completed financial years, together with your taxation returns. Any subsequent monthly, quarterly or half yearly statements should also be provided.
5. If your accounting practices, or aspects of your practices, differ from Australian generally accepted accounting principles, provide details.
6. Describe your accounting methodology, where applicable, for:
 - The recognition/timing of income, and the impact of discounts, rebates, sales returns warranty claims and intercompany transfers;
 - provisions for bad or doubtful debts;
 - the accounting treatment of general expenses and/or interest and the extent to which these are allocated to the cost of goods;
 - costing methods (eg by tonnes, units, revenue, activity, direct costs etc) and allocation of costs shared with other goods or processes;
 - the method of valuation for inventories of raw material, work-in-process, and finished goods (eg FIFO, weighted average cost);
 - valuation methods for scrap, by-products, or joint products;
 - valuation methods for damaged or sub-standard goods generated at the various stages of production;
 - valuation and revaluation of fixed assets;

- average useful life for each class of production equipment, the depreciation method and depreciation rate used for each;
 - treatment of foreign exchange gains and losses arising from transactions and from the translation of balance sheet items; and
 - restructuring costs, costs of plant closure, expenses for idle equipment and/or plant shut-downs.
7. If the accounting methods used by your company have changed over the period covered by your application please provide an explanation of the changes, the date of change, and the reasons.

A-7 Cost information

1. Complete *appendices A6.1 and A6.2* (cost to make and sell) for domestic and export sales.

A-8 Injury

The principal indicators of injury are prices, volumes and profit effects - although not all of these must be evident. For this application, profit refers to amounts earned. Profitability is the ratio of profit to sales revenue. Where injury is threatened, but has not yet occurred, refer to question C-2.

1. Estimate the date when the material injury from dumped imports commenced.
2. Using the data from *appendix A6* (cost to make and sell), complete the following tables for each model and grade of your production. P_n is the most recent period.

Index of production variations (model, type, grade of goods)

Period#	1	2	3	4	n
Index*	100				

Identify the relevant period eg 200X or Q1/0X

* Use data from label A of *appendix A6.1*

Index of cost variations (model, type, grade of goods)

Period#	1	2	3	4	n
Index*	100				

Identify the relevant period eg 200X or Q1/0X

* Use data from label J of *appendix A6.1*

Index of price variations (model, type, grade of goods)

Period#	1	2	3	4	n
Index*	100				

Identify the relevant period eg 200X or Q1/0X

* use data from label L of *appendix A6.1*

Index of profit variations (model, type, grade of goods)

Period#	1	2	3	4	n
Index*	100				

Identify the relevant period eg 200X or Q1/0X

* use data from label M or N (specify as appropriate) of *appendix 6.1*

Index of profitability variations (model, type, grade of goods)

Period#	1	2	3	4	n
Index*	100				

Identify the relevant period eg 200X or Q1/0X

* use data from label O of *appendix A6.1*

3. Complete *appendix A7* (other injury factors).

Where applicable to injury claims, prepare an indexed table for other injury factor(s) in the format above.

Index of [factor]

Period#	1	2	3	4	n
Index*	100				

Identify the relevant period eg 200X or Q1/0X

* use data from *appendix A7*

A-9 Link between injury and dumped imports.

To establish grounds to initiate an investigation there must be evidence of a relationship between the injury and the alleged dumping. This section provides for an applicant to analyse the data provided in the application to establish this link. It is not necessary that injury be shown for each economic indicator.

1. Identify from the data at *appendix A2* (Australian market) the influence of the volume of dumped imports on your quarterly sales volume and market share.
2. Use the data at *appendix A2* (Australian market) to show the influence of the price of dumped imports on your quarterly prices, profits and profitability provided at *appendix A6.1* (costs to make and sell). If appropriate, refer to any price undercutting and price depression evident in the market.
3. Compare the data at *appendix A2* (Australian market) to identify the influence of dumped imports on your quarterly costs to make and sell at *appendix A6.1* (for example refer to changes in unit fixed costs or the ability to raise prices in response to material cost increases).
4. The quantity and prices of dumped imported goods may affect various economic factors relevant to an Australian industry. These include, amongst other things, the return on investment in an industry, cash flow, the number of persons employed and their wages, the ability to raise capital, and the level of investment in the industry. Describe, as appropriate, the effect of dumped imports on these factors and where applicable use references to the data you have provided at *appendix A7* (other injury factors). If factors other than those listed at *appendix A7* (other injury factors) are relevant, include discussion of those in response to this question.

5. Describe how the injury factors caused by dumping and suffered by the Australian industry are considered to be 'material'.
6. Discuss factors other than dumped imports that may have caused injury to the industry. This may be relevant to the application in that an industry weakened by other events may be more susceptible to injury from dumping.
7. This question is not mandatory, but may support your application. Where trends are evident in your estimate of the volume and prices of dumped imports, forecast their impact on your industry's economic condition. Use the data at *appendix A2* (Australian market), *appendix A6* (cost to make and sell), and *appendix A7* (other injury factors) to support your analysis.

PART B

DUMPING

IMPORTANT

All questions in Part B should be answered even if the answer is 'Not applicable' or 'None' (unless the application is for countervailing duty only: refer Part C). If an Australian industry comprises more than one company/entity, Part B need only be completed once.

For advice about completing this part please contact the Customs Dumping Liaison Unit on:
Phone (02) 6275 6066 Fax (02) 6275 6990

B-1 Source of exports.

1. Identify the country(ies) of export of the dumped goods.
2. Identify whether each country is also the country of origin of the imported goods. If not, provide details.
3. If the source of the exports is a non-market economy, or an 'economy in transition', refer to Part C-4 and Part C-5 of the application.
4. Where possible, provide the names, addresses and contact details of:
 - producers of the goods exported to Australia;
 - exporters to Australia; and
 - importers in Australia.
5. If the import volume from **each** nominated country at *Appendix A.2 (Australian Market)* does not exceed 3% of all imports of the product into Australia refer to Part C-6 of the application.
6. In the case of an application for countervailing measures against exports from a developing country, if the import volume from **each** nominated country at *Appendix A.2 (Australian Market)* does not exceed 4% of all imports of the product into Australia refer to Part C-6 of the application.

B-2 Export price

Possible sources of information on export price include export price lists; estimates from the Australian Bureau of Statistics; a deductive export price calculation from the Australian selling price of the imported goods; export sales quotations or invoices; foreign government export trade clearances.

1. Indicate the FOB export price(s) of the imported goods. Where there are different grades, levels of trade, models or types involved, an export price should be supplied for each.
2. Specify the terms and conditions of the sale, where known.
3. If you consider published export prices are inadequate, or do not appropriately reflect actual prices, please calculate a deductive export price for the goods. *Appendix B1 (Deductive Export Price)* can be used to assist your estimation.
4. It is important that the application be supported by evidence to show how export price(s) have been calculated or estimated. The evidence should identify the source(s) of data.

B-3 Selling price (normal value) in the exporter's domestic market.

Possible sources of information about domestic selling prices in the country of export include: price lists for domestic sales (with information on discounts); actual quotations or invoices relating to domestic sales; published material providing information on the domestic selling prices; or market research undertaken on behalf of the applicant.

1. State the selling price for each grade, model or type of like goods sold by the exporter, or other sellers, on the domestic market of the country of export.

2. Specify the terms and conditions of the sale, where known.
3. Provide supporting documentary evidence.
4. List the names and contact details of other known sellers of like goods in the domestic market of the exporting country.

B-4 Estimate of normal value using another method.

This section is not mandatory. It need only be completed where there is no reliable information available about selling prices in the exporter's domestic market. Other methods of calculating a normal value include:

- *the cost to make the exported goods plus the selling and administration costs (as if they were sold in the exporter's domestic market) plus an amount for profit (if applicable);*
OR
- *the selling price of like goods from the country of export to a third country.*

1. Indicate the normal value of the like goods in the country of export using another method (if applicable, use *appendix B2 Constructed Normal Value*)
2. Provide supporting documentary evidence.

B-5 Adjustments.

A fair comparison must be made between the export price and the normal value. Adjustments should be made for differences in the terms and circumstances of the sales such as the level of trade, physical characteristics, taxes or other factors that affect price comparability.

1. Provide details of any known differences between the export price and the normal value. Include supporting information, including the basis of estimates.
2. State the amount of adjustment required for each and apply the adjustments to the domestic prices to calculate normal values. Include supporting information, including the basis of estimates.

B-6 Dumping margin.

1. Subtract the export price from the normal value for each grade, model or type of the goods (after adjusting for any differences affecting price comparability).
2. Show dumping margins as a percentage of the export price.

PART C

SUPPLEMENTARY SECTION

IMPORTANT

Replies to questions in Part C are not mandatory in all instances, but may be essential for certain applications.

You should contact the Customs Dumping Liaison Unit before answering any question in this part: Phone (02) 6275 6066 Fax (02) 6275 6990

C-1 Subsidy

This section must be completed where countervailing duties are sought to offset foreign government assistance through subsidies to exporters or producers.

If the application is for countervailing duty alone, the domestic price information required by Part B of the application need not be supplied.

Responses to questions A-9 will need to identify the link between subsidisation and injury.

1. Identify the subsidy paid in the country of export or origin. Provide supporting evidence including details of:
 - (i) the nature and title of the subsidy;
 - (ii) the government agency responsible for administering the subsidy;
 - (iii) the recipients of the subsidy; and
 - (iv) the amount of the subsidy.

C-2 Threat of material injury

*Address this section if the application relies **solely** on threat of material injury (ie where material injury to an Australian industry is not yet evident).*

1. Identify the change in circumstances that has created a situation where threat of material injury to an Australian industry from dumping/subsidisation is foreseeable and imminent, for example by having regard to:
 - (i) the rate of increase of dumped/subsidised imports;
 - (ii) changes to the available capacity of the exporter(s);
 - (iii) the prices of imports that will have a significant depressing or suppressing effect on domestic prices and lead to further imports;
 - (iv) inventories of the product to be investigated; or
 - (v) any other relevant factor(s).
2. If appropriate, include an analysis of trends (or a projection of trends) and market conditions illustrating that the threat is both foreseeable and imminent.

C-3 Close processed agricultural goods

*Where it is established that the like (processed) goods are closely related to the locally produced (unprocessed) raw agricultural goods, then - for the purposes of injury assessment - the producers of the raw agricultural goods form part of the Australian industry. This section is to be completed only where processed agricultural goods are the subject of the application. **Applicants are advised to contact the Dumping Liaison Unit before completing this section Phone (02) 6275 6066 Fax (02) 6275 6990.***

1. Fully describe the locally produced raw agricultural goods.
2. Provide details showing that the raw agricultural goods are devoted substantially or completely to the processed agricultural goods.

3. Provide details showing that the processed agricultural goods are derived substantially or completely from the raw agricultural goods.
4. Provide information to establish **either**:
 - a close relationship between the price of the raw agricultural goods and the processed agricultural goods; **or**
 - that the cost of the raw agricultural goods is a significant part of the production cost of the processed agricultural goods.

C-4. Exports from a non-market economy

Complete this section only if exports from a non-market economy are covered by the application. The domestic price information required by Part B of the application need not be supplied if this question is answered.

Normal values for non-market economies may be established by reference to selling prices or to costs to make and sell the goods in a comparable market economy country.

1. Provide evidence the country of export is a non-market economy. A non-market economy exists where the government has a monopoly, or a substantial monopoly, of trade in the country of export and determines (or substantially influences) the domestic price of like goods in that country.
2. Nominate a comparable market economy to establish selling prices.
3. Explain the basis for selection of the comparable market economy country.
4. Indicate the selling price (or the cost to make and sell) for each grade, model or type of the goods sold in the comparable market economy country. Provide supporting evidence.

C-5 Exports from an 'economy in transition'

An 'economy in transition' exists where the government of the country of export had a monopoly, or substantial monopoly, on the trade of that country (such as per question C-4) and that situation no longer applies.

*Complete this section only if exports from an 'economy in transition' are covered by the application. **Applicants are advised to contact the Dumping Liaison Unit before completing this section ((02) 6275 6066 Fax (02) 6275 6990.***

1. Provide information establishing that the country of export is an 'economy in transition'.
2. A price control situation exists where the price of the goods is controlled or substantially controlled by a government in the country of export. Provide evidence that a price control situation exists in the country of export in respect of like goods.
3. Provide information (reasonably available to you) that raw material inputs used in manufacturing/producing the exported goods are supplied by an enterprise wholly owned by a government, at any level, of the country of export.
4. Estimate a 'normal value' for the goods in the country of export for comparison with export price. Provide evidence to support your estimate.

C-6 Aggregation of Volumes of dumped goods

Only answer this question if required by question B-1.5 of the application and action is sought against countries that individually account for less than 3% of total imports from all countries (or 4% in the case of subsidised goods from developing countries). To be included in an investigation, they must collectively account for more than 7% of the total (or 9% in the case of subsidised goods from developing countries).

	Quantity	%	Value	%
All imports into Australia		100%		100%
Country A*				
Country B*				
etc*				
Total				

* Only include countries that account for less than 3% of all imports (or 4% in the case of subsidised goods from developing countries). Use the data at *Appendix A.2* (Australian Market) to complete the table.

APPENDICES



Appendix A1	Australian Production
Appendix A2	Australian Market
Appendix A3	Sales Turnover
Appendix A4	Domestic Sales
Appendix A5	Sales of Other Production
Appendix A6.1	Cost to Make and Sell (& profit) Domestic Sales
Appendix A6.2	Cost to Make and Sell (& profit) Export Sales
Appendix A7	Other Injury Factors
Appendix A8	Authority to Deal With Representative
Appendix B1	Deductive Export Price
Appendix B2	Constructed Normal Value

This appendix assesses the level of support for the application.

The data must:

- relate to the last twelve months;
- be provided for all companies in Australia producing like goods;
- comprise production for each model/grade/type of like goods;
- explain the basis of any estimates.

The data may show either or both of production volume and value.

 your data
 calculated data

Model/Grade/Type of goods:

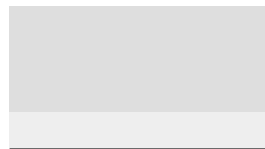
volume **value**

Companies *supporting* the application

Company A

Company B (etc)

Sub total

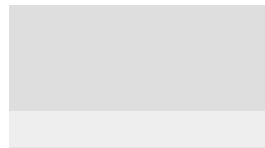


Companies *opposing* the application

Company C

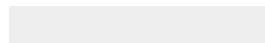
Company D (etc)

Sub total



Companies *commenting on* the application

Sub total

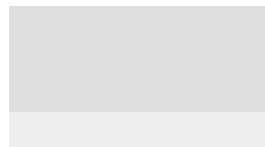


Companies *not commenting on* the application

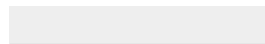
Company E

Company F (etc)

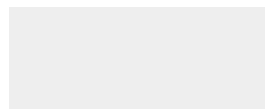
Sub total (estimated)



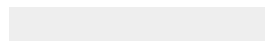
Total Australian Production (estimated)



Of the companies that have commented, the portion of production represented by companies supporting the application is



The portion of total production supporting the application is



Australian Market

Appendix A2

The data must:

- be shown for each model/grade/type;
- Identify estimates where used and explain their basis in footnotes;
- Refer to domestic sales only. Use a separate spreadsheet for each applicant company.

Period 'n' is the most recent.

The data should be provided on a yearly and/or quarterly basis, and be consistent through the application. If company records preclude this, other options should be discussed with the Dumping Liaison Unit before proceeding further.

your data

calculated data

Model/Grade/Type of goods:

	P1		P2		P3		Pn	
	volume	value	vol	val	vol	val	vol	val
Your company's Australian sales of								
Own production								
Purchases from other Australian mfrs								
Imports								
Total								

Other domestic producers

Production								
Purchases								
Imports								
Total								

Sales by Australian producers

--	--	--	--	--	--	--	--	--

Dumped Imports

Country A*								
Country B*								
etc								
Total								

Other imports

Country C*								
Country D*								
etc								
Total								

Total sales of imports

--	--	--	--	--	--	--	--	--

AUSTRALIAN MARKET

--	--	--	--	--	--	--	--	--

Notes

* specify country

Australian Market Shares (%)

Model/Grade/Type of goods:

	P1		P2		P3		Pn	
	volume	value	vol	val	vol	val	vol	val
Your company's sales of								
Own production								
Purchases from other Australian mfrs								
Imports								
Total								

Other Australian companies								
Production								
Purchases								
Imports								
Total								

Sales by Australian producers								
--------------------------------------	--	--	--	--	--	--	--	--

Dumped Imports								
Country A*								
Country B*								
etc								
Total								

Other imports								
Country C*								
Country D*								
etc								
Total								

Total sales of imports								
-------------------------------	--	--	--	--	--	--	--	--

AUSTRALIAN MARKET								
--------------------------	--	--	--	--	--	--	--	--

Sales Turnover

The data must:

- where requested, be shown for each model/grade/type of the goods under consideration;
- explain the basis for estimates.

Period 'n' is the most recent.

The data should be provided on a yearly or quarterly basis, and be consistent through the application. If company records preclude this, other options should be discussed with the Dumping Liaison Unit before proceeding further.

your data

calculated data

Your company's sales of	P1		P2		P3		Pn	
	volume	value	vol	val	vol	val	vol	val
All products (all turnover)								
Australian market								
Export market								
Like Goods								
Australian market								
Export market								
model/grade/type								
Australian market								
Export market								
model/grade/type								
Australian market								
Export market								
model/grade/type								
Australian market								
Export market								
etc								

Domestic Sales

Appendix A4

This spreadsheet lists all sales of like goods during the last twelve months.

Customer name (1)	Level of trade (2)	Model (3)	Product code (4)	Invoice number (5)	Invoice date (6)	Date of sale (7)	Order number (8)	Delivery terms (9)	Payment terms (10)	Quantity (11)	Gross invoice value (12)	Discounts (13)	Rebates (14)	Other charges (15)	Net invoice value (16)	Other discounts (17)	Packing (18)	Transport & handling (19)	Other costs (20)
----------------------	-----------------------	--------------	---------------------	-----------------------	---------------------	---------------------	---------------------	-----------------------	-----------------------	------------------	-----------------------------	-------------------	-----------------	-----------------------	---------------------------	-------------------------	-----------------	------------------------------	---------------------

- (1) Names of your customers. If the name is not easily produced from your automated systems, show a customer code number and in a separate table list each code and name.
- (2) State the level of trade of your customer.
- (3) Grade/model/type of the good.
- (4) Code used in your records for the model identified. Provide an explanation of the product codes in your application.
- (5) The date that best establishes the material terms of sale for example, invoice date, order confirmation, contract, or purchase order
- (6) Show order confirmation, contract or purchase order number if you have shown a date other than invoice date as being the date of sale.
- (7) Delivery terms eg. ex-factory, free on truck, delivered into store.
- (8) Agreed payment terms; eg. 60 days.
- (9) Quantity in units shown on the invoice.
- (10) Gross invoice value shown on invoice, excluding taxes.
- (11) If applicable, the amount of any discount deducted on the invoice on each transaction. If a % discount applies show that % discount applying in another column.
- (12) The amount of any deferred rebates or allowances paid.
- (13) Any other charges, or price reductions, that affect the net invoice value. Insert additional columns and provide a description.
- (14) The net invoice value as entered in your accounting system.
- (15) The actual amount of discounts not deducted from the invoice. Show a separate column for each type of discount.
- (16) Packing expenses.
- (17) Transportation and handling costs included in the selling price.
- (18) Any other costs included in the selling price (such as warranty, commission or technical support).

Sales of Other Production

Only complete this appendix if you have made any:

- internal transfers; or
- domestic sales of like goods that you have not produced yourself,

for example if you have imported the product or on-sold purchases from another Australian manufacturer.

your data

calculated data

Model/type/grade	unit	P1		P2		P3		Pn	
		volume	value	volume	value	volume	value	volume	value
Sales of Own production									
	third parties								
	related parties								
	exports								
	Total								
Imported production									
	third parties								
	related parties								
	exports								
	Total								
Purchases from other Australian manufacturers									
	third parties								
	related parties								
	exports								
	Total								
Other									
	third parties								
	related parties								
	exports								
	Total								
Total Sales									
	third parties								
	related parties								
	exports								
	Total								

Note: Sales totals should correlate to data provided at other appendices.

Cost to Make and Sell (& Profit) - Domestic Sales

Appendix A6.1

Model / type / grade of goods	most recent period is P ⁿ				
	P1	P2	P3	Pn	
Cost to Make		<input type="text"/>	your data	<input type="text"/>	calculated data
A production quantity	unit	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
B variable manufacturing costs	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
raw materials - local	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
raw materials - imported	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
direct labour	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
variable overhead	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
other ⁽¹⁾	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
C fixed manufacturing costs	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
overhead	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
depreciation	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
finance charges	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
other ⁽¹⁾	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
D inventory change (+/-)	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
opening WIP (+)	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
closing WIP (-)	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Change WIP	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
opening value of materials (+)	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
closing value of materials (-)	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
change in value of materials	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
E cost of goods manufactured (B+C+D)	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
F unit cost to make (E/A)	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Cost to Make and Sell					
G sales quantity (Australia)	unit	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
H selling, distribution & administration	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
selling	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
distribution	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
administration	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
finance	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
other ⁽¹⁾	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
I unit cost to sell (H/G)	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
J unit cost to make and sell (F+I)	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Profit and Profitability					
K net sales revenue	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
sales revenue	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
discounts, rebates, etc	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
L unit sales revenue (K/G)	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
M net gain or loss (K-H-[G*F])	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
N unit gain or loss (M/G)	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
O profitability (N/L%)	%	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

(1) if 'other' costs exceed 10% of sub-heading value, provide a breakdown of cost elements.

Cost to Make and Sell (& Profit) - Export Sales

Appendix A6.2

Model / type / grade of goods	most recent period is P ⁿ				
	P1	P2	P3	Pn	
Cost to Make		<input type="text"/>	your data	<input type="text"/>	calculated data
A production quantity	unit	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
B variable manufacturing costs	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
raw materials - local	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
raw materials - imported	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
direct labour	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
variable overhead	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
other ⁽¹⁾	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
C fixed manufacturing costs	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
overhead	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
depreciation	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
finance charges	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
other ⁽¹⁾	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
D inventory change (+/-)	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
opening WIP (+)	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
closing WIP (-)	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Change WIP	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
opening value of materials (+)	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
closing value of materials (-)	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
change in value of materials	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
E cost of goods manufactured (B+C+D)	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
F unit cost to make (E/A)	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Cost to Make and Sell					
G sales quantity	unit	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
H selling, distribution & administration	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
selling	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
distribution	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
administration	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
finance	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
other ⁽¹⁾	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
I unit cost to sell (H/G)	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
J unit cost to make and sell (F+I)	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Profit and Profitability					
K net sales revenue	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
sales revenue	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
discounts, rebates, etc	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
L unit sales revenue (K/G)	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
M net gain or loss (K-H-[G*F])	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
N unit gain or loss (M/G)	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
O profitability (N/L%)	%	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

(1) if 'other' costs exceed 10% of sub-heading value, provide a breakdown of cost elements.

Other Injury Factors

Appendix A7

		last period is P ⁿ			
		P1	P2	P3	Pn
		<input type="checkbox"/> your data	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> calculated data
Assets	\$				
production of like goods	\$				
other production	\$				
Capital investment	\$				
production of like goods	\$				
other production	\$				
R & D Expense	\$				
like goods	\$				
other production	\$				
Revenue	\$				
like goods	\$				
other production	\$				
Return on investment	%				
like goods	%				
other production	%				
Capacity (1)	unit				
like goods	unit				
other production	unit				
Capacity utilisation					
actual production of like goods	unit				
actual production (other)	unit				
like goods	%				
other	%				
Employment					
like goods	persons				
other production	persons				
like goods	hours worked				
other production	hours worked				
Productivity (2)					
like goods					
other production					
Stocks					
opening stock	unit				
plus production	unit				
less domestic sales	unit				
less export sales	unit				
less transfers	unit				
less other	unit				
closing stock	unit				
Cash flow measures					
Accounts receivable (like goods)	\$				
Receivables turnover					
Inventory turnover					
Wages	\$				
wage bill for like goods	\$				
wage bill other production	\$				
average wage (like goods)	\$				
average wage (other production)	\$				
average wage (all production)	\$				

notes

(1) Specify capacity measure used. Practical or budgeted capacity measures are preferred, not theoretical or nameplate/engineering capacity.

(2) Use an appropriate productivity measure for applicant entity for example units produced per person, machine hour or dollar invested.

Another method is to calculate total factor productivity (TFP). TFP equals number of units produced divided by total costs of production.

Authority to Deal with Representative

I, *(name, position, company)*,

An applicant for anti dumping/countervailing duties in respect of
(product)

have engaged a representative
(representative's name, position, company)

for the purpose of this application.

I agree that Customs may liaise directly with my representative, including for the release of confidential information.

Signed:

Dated:

Deductive Export Prices

A separate calculation should be prepared for each model/grade/type of the imported goods under consideration. Indicate the period over which the prices and costs used in the calculation are based.

Provide evidence to support the calculation of each charge.

	your data
	calculated data

Model/type/grade	Model A	Model B	etc.
Specify unit (kilograms, tonnes, litres etc)	[]		
Period (where applicable)	[]		
Price at first point of resale to an unrelated buyer in Australia (per unit)	[]		
	\$A		
less amounts for			
net profit (if any) - specify rate	X %		
delivery to buyer			
warehousing			
selling, general and administration (incl. financial expenses) - if measured as percentage of selling price, specify rate	Y %		
freight from wharf to store			
customs duty - specify rate	Z %		
goods and services tax (GST)			
import clearance and handling (incl. Customs agency fees and disbursements)			
overseas freight			
overseas insurance			
other (specify)			
Deductive export price (FOB)			

Constructed Normal Value

The cost to make the export goods plus the selling and administration costs (as if they were sold in the exporter's domestic market) plus an amount for profit (if applicable)

The data must:

- be shown for each model/grade/type of the like goods;
- be calculated on a per unit basis;
- identify estimates where used and explain their basis in footnotes;

Where applicable, show data in yearly or quarterly periods. Period 'n' is the most recent.

Model / type / grade of goods	<input type="text" value="Model A"/>	etc
Specify Unit (kgs, tonnes, litres, etc)	most recent period is P ⁿ	
	P1	P2
	P3	Pn
	<input type="text"/>	<input type="text"/>
	your data	calculated data

Cost to Make

	per unit			
variable manufacturing costs	\$			
raw material - (1)	\$			
raw material - (2)	\$			
raw material - etc	\$			
direct labour	\$			
variable overhead	\$			
other ⁽¹⁾	\$			
fixed manufacturing costs	\$			
overhead	\$			
depreciation	\$			
finance charges	\$			
other ⁽¹⁾	\$			
unit cost to make	\$			

Cost to Make and sell

selling, distribution & administration	\$			
selling	\$			
distribution	\$			
administration	\$			
finance	\$			
other ⁽¹⁾	\$			
unit cost to make and sell	\$			

Profit (if any)

profit	\$			
profit rate (% on total cost to make and sell)	%			

Constructed normal value

	\$			
--	----	--	--	--

(1) if 'other' costs exceed 10% of sub-heading value, provide a breakdown of cost elements.

